

The University: Saint Mary's University as an institution and all its constituent University Members, including entities partially owned or controlled by The University.

The Policy: Saint Mary's University Conflict of Interest in Research Policy.

University Member(s) : Faculty, undergraduate students taking part in Research, graduate students, postdoctoral fellows and other personnel involved directly or indirectly in Research, including, but not limited to, research assistants and associates, technical staff, adjunct professors, visiting professors, and university administrators and officials representing The University.

Scope of The Policy: Reol td ((S)-19(p)-9(o)-10.0 0. 0 T2)JTJ 0 Tc 0 Tw5-33(t)-8(y0 M ()Te)-3(b()2d9Pd5(n)e)1b5

The Policy applies to all Research funded by The University, External Sponsors, and Research that a University Member is funding personally.

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Scholarship and Procedures for Reporting and Investigating Scholarly Misconduct
possible action.

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4. Being in a Conflict of Interest does not necessarily mean that a University Member will not be able to have some role in the relevant decision, process or activity. However, that role will come with clearly defined terms and conditions identified by the Person in Authority. University Members found to be in C

- i) any possible harm to the interests of students, research participants, clients of university services, or others served by The University, should the Conflict of Interest be permitted to continue;
- ii) any possible harm to The University or its employees, officers or others acting on its behalf if the Conflict of Interest were allowed to continue;
- iii) whether reasonable alternate arrangements which do not involve a Conflict of Interest can be made;
- iv) the

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APPENDIX 1

Examples of Conflicts on Interest in Research

Conflicts on Interest in Research situations take many forms. Examples include, but are not limited to, cases in which a University Member :

- x conducts a review, assessment or evaluation of a project or colleague, the outcome of which may affect the University Member's Personal Interests ;
- x conducts or participates in a research project which may affect his or her Financial Interests or those of a related Business;
- x is party to a decision on the part of The University to enter into any sort of research contract that may affect a Closely Associated Person's Financial Interests;
- x supervises a research associate, post -doctoral fellow, graduate or undergraduate student employed by a business in which the University Member has a Financial Interest ;
- x supervises a graduate or an undergraduate student who is a Closely Associated Person of the University Member;
- x uses The U u